

# BITS

FINANCIAL SERVICES  
R O U N D T A B L E

## **BITS IDENTITY MANAGEMENT FORUM: A STRATEGIC LOOK AT CREDENTIALING AND AUTHENTICATION**

Georgetown University Conference Center  
Washington, DC  
October 5, 2006  
8:00 am– 5:00 pm (ET)  
Reception: 5:00-6:00 pm

The purpose of the BITS Forum is to focus on two key aspects of the identity management puzzle: credentials and authentication. This one-day, invitation-only meeting will bring together experts from the financial services industry, technology companies, and government agencies to discuss the role of credentials, the credentialing process, efforts to implement stronger authentication, and long-term strategic challenges facing financial institutions in the authentication space. Up to 150 CIOs, CISOs, CSOs, Risk Management Executives, Regulators, Service Providers, and Consultants will gain insights into identity management challenges, steps financial institutions are taking to comply with government mandates, and collaborative strategies to achieve solutions that are convenient for consumers and reliable and safe for financial institutions. This event will be closed to members of the media in order to facilitate open dialogue among invited participants.

### AGENDA

Time	Topic	Proposed SPEAKERS
7:15 am	<b>Registration and Continental Breakfast</b>	
8:00 am	<b>Welcome and Opening Remarks</b>	Paul Smocer, Mellon Financial Corporation
8:15 am	<b>Keynote Address: The New Security: Trends and Strategic Challenges for Identity Management</b>	Catherine Allen, CEO of BITS
8:45 am	<p><b>Credentials Today: What Can We Trust and Rely On?</b></p> <p>This panel will look at credentials that are available today and efforts by organizations to improve these credentials to make them more reliable and to assist in verification and identification. Potential focus areas may include:</p> <ul style="list-style-type: none"> <li>• Consent Based Social Security Verification Program</li> <li>• Efforts by State Motor Vehicle Administrations and Real ID Act Implementation</li> <li>• Other Federal ID and Homeland Security Credentials</li> </ul>	<p>Moderator: Sam Phillips, Bank of America</p> <p>Panel:</p> <ul style="list-style-type: none"> <li>- Richard Parry, JPMC</li> <li>- Bill Gray, Social Security Administration</li> <li>- Tom Wolfsohn, American Association of Motor Vehicle Administrators</li> <li>- Scott Parsons, Treasury Department</li> </ul>
9:45 am	<b>Break</b>	

10:00 am	<p><b>Credentials Tomorrow: What Is On the Horizon for Identifying and Verifying Users of Systems</b></p> <p>This panel will explore efforts to develop credentials that will meet the needs of the financial services industry and society in a global and interconnected economy. Panel members will explore credentialing as a service and government requirement from a strategic perspective, how they relate to authentication techniques that rely on these credentials.</p>	<p>Moderator: Tom Doughty, Prudential Panel:</p> <ul style="list-style-type: none"> <li>- Michael Jones, Microsoft</li> <li>- Chris Young, RSA</li> <li>- Gary Gordon, Utica College and Center for Identity Management and Information Protection</li> <li>- Richard Hansberger, Director of eNotarization, National Notary Association</li> </ul>
11:15 pm	<p><b>Case Study: Importance of Credentials and Authentication Practices in an all Electronic System for Title Insurance and Real Estate Closings</b></p>	<p>Darren Ross, Director of E-Commerce, Stewart Title Insurance</p>
11:45 am	<p><b>Networking Lunch</b> (and an opportunity to meet with sponsoring organizations)</p>	
1:00 pm	<p><b>Keynote Address: Beyond Authentication: The Logic of Layered Security</b></p>	<p>Art Coviello, CEO and President of RSA Security Inc.</p>
1:30 pm	<p><b>Approaching the December 06 Deadline for FFIEC Authentication Guidance Compliance: Last Quarter Hurdles and Challenges and Future Focus</b></p> <p>This panel of financial industry experts and regulators will discuss authentication and information security compliance requirements and implementation challenges (e.g., consumer acceptance, vendor management) for FFIEC-supervised institutions. The panel also will explore what brokerage companies and service providers should expect from the SEC and FTC.</p>	<p>Moderator: John Carlson, BITS Panel:</p> <ul style="list-style-type: none"> <li>- Tom Doughty, Prudential</li> <li>- Landy Dutton, Regions</li> <li>- Elton Hill, FRB</li> <li>- Jeff Kopchik, FDIC</li> <li>- George Johnson, SEC</li> <li>- Naomi Lefkovitz, FTC</li> <li>- Mark ODell, OCC</li> <li>- Stan Szwabbenest, JPMC</li> </ul>
2:45 pm	<p><b>Break</b></p>	
3:00 pm	<p><b>Update on FSTC Authentication Initiatives</b></p>	<p>Dan Schutzer, Executive Director, FSTC</p>
3:15 pm	<p><b>Long-Term, Strategic View of ID Management:</b></p> <p>This panel will explore the key drivers for stronger authentication and discuss strategic, industry-wide strategies for overcoming the barriers. Key drivers include: innovation and technology; insurance-based incentives; regulatory and security &amp; business requirements; breach notification; ID theft concerns, and law enforcement needs and requirements. Key barriers include: customer acceptance and lack of standards and interoperability. The panel also will discuss the long-term vision and challenges to meet the needs of the financial service industry in authentication and credentialing.</p>	<p>Moderator: Paul Smocer, Mellon Financial Corporation Panel:</p> <ul style="list-style-type: none"> <li>Bruce Moulton, Symantec</li> <li>Kevin Mandia, President of Mandiant</li> <li>Jennifer Bayuk, Bear Stearns and Chair of the FSSCC R&amp;D Committee</li> <li>Mark Greene, IBM</li> <li>Dan Blum, Burton Group</li> </ul>
4:30 p.m.	<p><b>Key Messages and Next Steps</b></p> <p>Participants will discuss near term and long term steps financial institutions, service providers, associations and government agencies should take to address the challenges.</p>	<p>Catherine Allen, BITS</p>
5:00 pm	<p><b>Adjourn</b></p>	
5:00 pm-6:00 pm	<p><b>Networking Reception</b></p>	